

04-17-02

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

pplicants:

Robert J. Small and Brandon S. Scoti

Assignee:

EKC Technology, Inc.

Title:

Catalytic Composition for Chemical-Mechanical Polishing, Method of

Using Same, and Substrate Treated With Same

Serial No.:

10/074,757

Filing Date:

February 11, 2002

Examiner:

Unknown

Group Art Unit:

2812

Docket No.:

M-12412 US

San Francisco, California April 15, 2002

BOX MISSING PARTS COMMISSIONER FOR PATENTS Washington, D.C. 20231

RESPONSE TO NOTICE TO FILE MISSING PARTS OF NON-PROVISIONAL APPLICATION - FILING DATE GRANTED

Sir:

In response to the "Notice to File Missing Parts of Non-Provisional Application - Filing Date Granted" mailed by the United States Patent and Trademark Office on April 1, 2002, the following documents are enclosed to complete the filing of the above-identified patent application:

- 1. A declaration signed by the inventors in compliance with 37 CFR 1.63; and
- 2. A copy of Notice to File Missing Parts of Non-Provisional Application Filing Date Granted.

The United States Patent and Trademark Office is hereby authorized to charge the following fees to Deposit Account No. 19-2386:

1. Surcharge for filing declaration on a date later than the

\$ 130.00

filing date of the application.

2. TOTAL FEES:

\$ 130.00

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Serial No. 10/074,757

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The Commissioner is hereby authorized to charge any additional fees, which may be required, or credit any overpayment to Deposit Account No. 19-2386.

It is hereby respectfully submitted that the enclosed documents complete the filing of the above patent application and justify the filing date of February 11, 2002. Please telephone the undersigned at 415-217-6000, if there are any questions. This form is being submitted in duplicate.

EXPRESS MAIL LABEL NO:

EV 073 468 868 US

Respectfully submitted,

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K. Alison de Runtz Attorney of Record

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APPLICATION NUMBER

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FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

10/074,757

02/11/2002

Robert J. Small

M-12412 US

CONFIRMATION NO. 9276

FORMALITIES LETTER

OC000000007758810

SKJERVEN MORRILL MacPHERSON LLP Three Embarcadero Ctr., 28the Floor San Francisco, CA 94111

Date Mailed: 04/01/2002

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(I) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.

A copy of this notice MUST be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

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